

1744

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/883,091
Filing Date	June 15, 2001
First Named Inventor	Edmund Y. Ting
Group Art Unit	1744
Examiner Name	Monzer R. Chorbaji
Attorney Docket No.	340058.535

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____
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Remarks

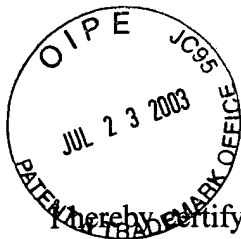
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Date

Andrea Dolder
Andrea Dolder

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edmund Y. Ting et al.
Application No. : 09/883,091
Filed : June 15, 2001
For : METHOD AND APPARATUS FOR HIGH PRESSURE
TREATMENT OF SUBSTANCES UNDER CONTROLLED
TEMPERATURE CONDITIONS

Examiner : Monzer R. Chorbaji
Art Unit : 1744
Docket No. : 340058.535
Date : July 21, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

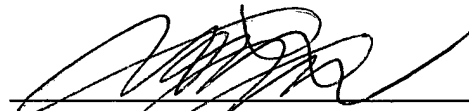
Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Supplemental Information Disclosure Statement has been timely filed, however, the Commissioner is authorized to charge any fee due by way of this Supplemental Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Edmund Y. Ting et al.

Seed Intellectual Property Law Group PLLC



Lorraine Linford

Registration No. 35,939

LL:ad

Enclosures:

Postcard

Form PTO-1449

Cited References (43)

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

340058.535

APPLICATION NO.

09/883,091

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Edmund Y. Ting et al.

FILING DATE

June 15, 2001

GROUP ART UNIT

1744

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,442,341 B1	08/27/02	Wu	392	479	
	AB	6,330,395 B1	12/11/01	Wu	392	494	
	AC	6,283,832 B1	09/04/01	Shepherd	451	40	
	AD	5,658,610	08/19/97	Bergman et al.	426	665	
	AE	5,579,682	12/03/96	Bergman et al.	99	473	
	AF	5,458,901	10/17/95	Engler et al.	426	521	
	AG	5,439,703	08/08/95	Kanda et al.	426	665	
	AH	5,394,505	02/28/95	Bidare	392	379	
	AI	5,393,547	02/28/95	Balaban et al.	426	330	
	AJ	5,265,318	11/30/93	Shero	29	447	
	AK	5,235,905	08/17/93	Bushnell et al.	99	451	
	AL	5,232,726	08/03/93	Clark et al.	426	519	
	AM	5,229,150	07/20/93	Ahnell et al.	426	231	

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AN	DE 44 06 028 A1	08/31/95	Germany (+ English Abstract)		X
	AO	JP 62-122546	06/03/87	Japan (+ English Abstract)		X
	AP	JP 62-66862	03/26/87	Japan (+ English Abstract)		X
	AQ	JP 5-161483	06/29/93	Japan (+ English Abstract)		X
	AR	JP 4-299967	10/23/92	Japan (+ English Abstract)		X
	AS	JP 4-63569	02/28/92	Japan (+ English Abstract)		X

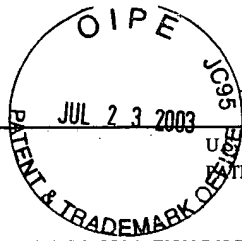
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AT	P. Rovere, "The Third Dimension of Food Technology, Next to time and temperature, a new and revolutionary operative parameter: high pressure," <i>Technologie Alimentari, Sistemi per Produrre</i> , No. 4, 1995.
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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,213,029	05/25/93	Yutaka	99	474	
	BB	5,075,124	12/24/91	Horie et al.	426	577	
	BC	5,048,404	09/17/91	Bushnell et al.	99	451	
	BD	4,789,313	12/06/88	Tower et al.	417	388	
	BE	4,695,472	09/22/87	Dunn et al.	426	237	
	BF	4,659,472	04/21/87	Nordlund et al.	210	609	
	BG	4,334,141	06/08/82	Roller et al.	219	283	
	BH	4,313,370	02/02/82	Skoli et al.	99	323.1	
	BI	4,160,408	07/10/79	Ulvestad	99	348	
	BJ	2,687,626	08/31/54	Bartlowe	62	126	
	BK	2,680,802	06/08/54	Bremer et al.	219	40	
	BL	2,611,585	09/23/52	Boling	257	241	
	BM						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BN	JP 3-292863	12/24/91	Japan (+ English Abstract)		X
	BO	JP 3-147772	06/24/91	Japan (+ English Abstract)		X
	BP	JP 2-245146	09/28/90	Japan (+ English Abstract)		X
	BQ	JP 2-89598	03/29/90	Japan (+ English Abstract)		X
	BR	JP 1-196251	08/08/89	Japan (+ English Abstract)		X
	BS	WO 99/38394	08/05/99	WIPO		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CA						
	CB						
	CC						
	CD						
	CE						
	CF						
	CG						
	CH						
	CI						
	CJ						
	CK						
	CL						
	CM						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	CN	WO 99/29187	06/17/99	WIPO		
	CO	WO 97/21361	06/19/97	WIPO		
	CP	WO 96/11588	04/25/96	WIPO		
	CQ	WO 94/21145	09/29/94	WIPO		
	CR	WO 92/22748	12/23/92	WIPO		
	CS					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CT	
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